Docket No. 247553US2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Fumihiko AIGA, et al.

SERIAL NO: New Application

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

FILTER CIRCUIT

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount-required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland Registration Administration 21,124

Customer Number 22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) Docket No.

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# STATEMENT OF RELEVANCY

# Reference AO (2001-60803) on Form PTO-1449:

This discloses the filters which have real and pure imaginary zeros of the transfer function. But it does not disclose filters which have complex zeros.

# Reference AW Form PTO-1449:

This shows the canonical linear phase filters. But it is different to realize large pole canonical filters with microstrip lines because of the unexpected couplings between resonators.

## Reference AX on Form PTO-1449:

This shows one of the variations of the canonical filter with coaxial cavities. But it is difficult to realize such filter with microstrip lines.

# Reference AY on Form PTO-1449:

This shows the cascaded quadruplet (CQ) filters. CQ filters can realize real and pure imaginary zeros of the transfer function. But CQ filters cannot realize complex zeros for the group delay equalization.

## Reference AZ on Form PTO-1449:

This shows the 8-pole cascaded quadruplet filter based on the 8-pole canonical filter whose coupling between the first resonator and 8<sup>th</sup> resonator is zero.

| Form PTO 1449                         |   | U.S. DEPARTMENT   |                              | ATTY DOCKET NO.<br>247553US2  |   | SERIAL NO. New Application |                               |
|---------------------------------------|---|---|------------------------------|---|---|----------------------------|-------------------------------|
| (Modified)                            |   | PATENT AND TRAC   | DEMARK OFFICE                |   |   |                            |                               |
|                                       |   |   |                              | APPLICANT   |   |                            |                               |
| LIST OF REFERENCES CITED BY APPLICANT |   |   | Fumihiko AIGA, et al.        |   |   |                            |                               |
|                                       |   |   |                              | FILING DATE<br>Herewith   |   | GROUP                      |                               |
|                                       |   |   |                              |   |   |                            |                               |
|                                       |   |   |                              | U.S. PATENT DOCUMENTS   |   |                            |                               |
| EXAMINER INITIAL                      |   | DOCUMENT<br>NUMBER  | DATE                         | NAME  | CLASS                                   | SUB<br>CLASS               | FILING DATE<br>IF APPROPRIATE |
|                                       | AA  |   |                              |   |   |                            |                               |
|                                       | AB  |   |                              |   |   |                            |                               |
|                                       | AC  |   |                              |   |   |                            |                               |
|                                       | AD  |   |                              |   |   |                            |                               |
|                                       | AE  |   |                              |   |   |                            |                               |
|                                       | AF  |   |                              |   |   |                            |                               |
|                                       | AG  |   |                              |   |   |                            |                               |
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|                                       | AN  |   | <u> </u>                     |   |   |                            |                               |
|                                       |   |   | FC                           | REIGN PATENT DOCUMENTS  |   |                            |                               |
|                                       | DOCUMENT  |   |                              | COUNTRY   |   | TRANSLATION                |                               |
|                                       |   | NUMBER DATE   |                              |   |   | YES NO                     |                               |
|                                       | AO  | 2001-60803  | 03/06/01                     | Japan (with English Abstract)   |   |                            | X                             |
|                                       | AP  |   |                              |   |   |                            |                               |
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|                                       | AV  |   |                              |   |   | <u></u>                    |                               |
|                                       | -   | OTHER R   | EFERENCES                    | (Including Author, Title, Date, Pertinen  | t Pages, e                              | etc.)                      |                               |
|                                       | AW  | John David RHODES<br>MICROWAVE THEOL  | , "A Low-Pass<br>RY AND TECH | Prototype Network For Microwave Linear<br>NIQUES, Vol. MTT-18, No. 6, June 1970 | Phase Fi<br>Pages 2                     | iters ", IEE<br>90-301     | E TRANSACTIONS ON             |
|                                       | AX  | Gerhard PFITZENMAIER, "Synthesis and Realization of Narrow-Band Canonical Microwave Bandpass Filters Exhibiting Linear Phase and Transmission Zeros", IEEE TRNASACTIONS ON MICROWAVE THEORY AND TECHNIQUES, Vol. MTT-30, No. 9, September 1982, Pages 1300-1311 |                              |   |   |                            |                               |
|                                       | AY  | Ralph LEVY, "Direct Synthesis of Cascaded Quadruplet (CQ) Filters", IEEE TRNASACTIONS ON MICROWAVE THEORY   |                              |   |   |                            |                               |
|                                       | Richard J.Cameron, et al., "Asymmetric Realizations for Dual-Mode Bandpass Filters", IEEE TRNASACTIONS ON MICROWAVE THEORY AN TECHNIQUES, Vol. MTT-29, No. 1, January 1981, Pages 51-58 |   |                              |   | Additional References sheet(s) attached |                            |                               |
| Examiner                              |   |   |                              |   | Date Considered                         |                            |                               |
| *Examiner: I                          | nitial if   | reference is considered   | , whether or no              | ot citation is in conformance with MPEP 6                                       | 09; Draw I                              | ine through                | citation if not in            |
|                                       |   |   |                              | m with next communication to applicant.   |   |                            |                               |